United States District Court Southern District of Texas

ENTERED

September 02, 2020 David J. Bradley, Clerk

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

LAWRENCE ROUGIER, et al., Individually and on Behalf of All Others Similarly Situated,

Case No. 4:17-cv-2399-VDG-CAB

Plaintiffs,

v.

APPLIED OPTOELECTRONICS, INC., CHIH-HSIANG (THOMPSON) LIN, and STEFAN J. MURRY,

Defendants.

ORDER SETTING DATE FOR HEARING ON FINAL APPROVAL OF SETTLEMENT

A hearing (the "Settlement Hearing") pursuant to Federal Rule of Civil Procedure 23 is hereby scheduled to be held before the Court, either in person or telephonically at the Court's discretion, on November 24, 2020, at 9:00 a.m. for the following purposes:

- (a) to determine whether the proposed Settlement is fair, reasonable and adequate, and should be approved by the Court;
- ("Judgment") as provided under the Stipulation should be entered, and to determine whether the release by the Class of the Released Claims, as set forth in the Stipulation and Agreement of Settlement ("Stipulation"), should be provided to the Released Defendant Parties;
- (c) to determine, for purposes of the Settlement only, whether the Class should be finally certified; whether Plaintiffs should be finally certified as class representatives for the Class; whether the law firm of Levi & Korsinsky, LLP should be finally appointed as Lead Counsel

for the Class; and whether Kendall Law Group, PLLC should be finally appointed as Liaison Counsel for the Class;

- (d) to determine whether the proposed Plan of Allocation for the proceeds of the Settlement is fair and reasonable and should be approved by the Court;
- (e) to consider Lead Counsel's application for an award of attorneys' fees and expenses (which may include an application for an award to Plaintiffs for reimbursement of their reasonable costs and expenses directly related to their representation of the Class, pursuant to the Private Securities Litigation Reform Act of 1995 ("PSLRA")); and
- (f) to rule upon such other matters as the Court may deem appropriate.

 DATED this 1st day of September, 2020

BY THE COURT:

Honorable Vanessa D. Gilmore
UNITED STATES DISTRICT JUDGE